

# Welcome!

- The session will begin shortly; for those that are joining via Zoom, please take a moment to make sure your microphone is muted.
- The presentation portion of this session will be recorded.
- Please hold your questions to the end of presentation.
- Not registered? Please register now using the QR code.



# HRPP vs. IRB

Human Research Protection Program  
versus Institutional Review Board



Melissa A. Epstein, PhD, MBE, CIP  
*Executive Director, Human Research Protections*

[hrp.weill.cornell.edu](http://hrp.weill.cornell.edu)

# Melissa A. Epstein, PhD, MBE, CIP



18+ years of experience in human research protections,

10 years of research experience in production and perception of speech, specializing in mathematical modeling of vocal cord vibration and 3 dimensional modeling of tongue motion.

Masters in Bioethics specializing in Research Ethics

Joined WCM in 2021



# Today's Presentation

What is the scope of the IRB?

What is a Human Research Protection Program?

How did single IRB change our understanding of HRPP?

How does the HRPP represent institutional approval?



# What is the scope of the IRB?



# What is an IRB?

An IRB is a committee that performs ethical review of proposed human subjects research.

## What is it?

- Have at least five members
- Sufficiently qualified through the experience, expertise, and the diversity of the members
- Diverse:
  - Demographics
  - Scientific/non-scientific
  - Affiliate/non-affiliate

## What does it do?

- Purpose is to safeguard the welfare of participants
- IRB determinations
  - Approves
  - Disapproves
  - Requires Modifications
- Monitor active projects
- Suspend/terminate approval
- Investigate allegations



# The WCM IRB



- Institutional Official
  - Dr. Timothy Wilkin, M.D.  
*Assistant Dean for Clinical Research Compliance*
- IRB Committees
  - General IRBs 1 & 2
  - Cancer IRB
  - Executive



# Human Research Protections Office



**Melissa Epstein**  
PhD, CIP  
Executive Director,  
HRP & Compliance



**Lauren Blumberg**  
MPH, MS  
Assistant Director,  
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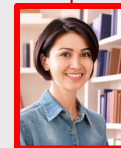
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**Kaori Germano**  
PhD  
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Program Manager &  
Sr. Research Navigator



**German Jimenez**  
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Compliance & Reliance  
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Single IRB  
BRANYplus



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IRB Regulatory  
Manager  
GENERAL TEAM



**Alyssa Wheeler**  
RN  
IRB Regulatory  
Manager  
EXPEDITED TEAM



**Cynthia Franco**  
MHA  
IRB Regulatory  
Manager  
CANCER TEAM



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# Approval Criteria (45 CFR 46.111/ 21 CFR 56.111)

Risks to subjects are minimized

Risks to subjects are reasonable in relation to anticipated benefits

Selection of subjects is equitable

Informed consent will be sought from each prospective subject or the subject's legally authorized representative, in accordance with, and to the extent required by, § 46.116

Informed consent will be appropriately documented or appropriately waived in accordance with § 46.117

When appropriate, the research plan makes adequate provision for monitoring the data collected to ensure the safety of subjects.

When appropriate, there are adequate provisions to protect the privacy of subjects and to maintain the confidentiality of data.

Appropriate additional safeguards for vulnerable populations



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For a discussion on which regulations apply when,  
please watch our METS from September 2023

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**IRB 101: Regulating  
Research**

Research Ethics and the Responsible Conduct of Research

# Regulations

Organization	Regulation
OHRP	Common Rule (45 CFR §46)
FDA	Device, Drug and IRB regulations (21 CFR §812; §312, §50, and §56)
DoD	Instruction 3216.02
Office of Civil Rights	HIPAA (45 CFR §160 and §164)
ICH	International Conference on Harmonisation (ICH) Good Clinical Practice
EUGDPR	European Union General Data Protection Regulation
NIH	Imposes requirements on funded research
State, Local, and Institutional Requirements	

For a discussion on which regulations apply when,  
please watch our METS from September 2023



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**IRB 101: Regulating Research**

Research Ethics and the Responsible Conduct of Research

Office of Human Research Protection & Compliance  
Melissa Epstein, PhD, MBE, CIP Executive Director

<https://research.weill.cornell.edu/>

# What is a Human Research Protection Program?



# Human Research Protection Program

A system of interdependent groups and individuals interacting to protect research participants in the conduct of human research.

## What is it?

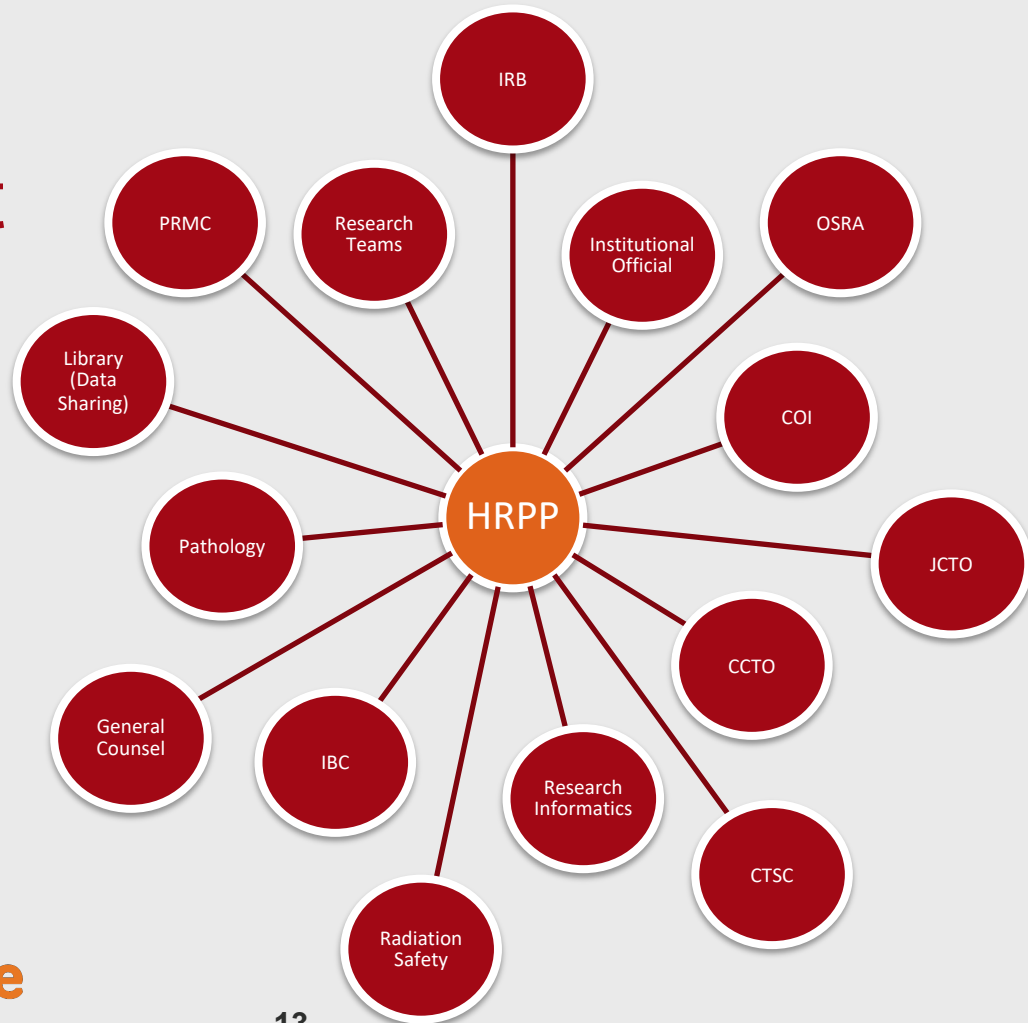
- Various entities, departments, and committees, who are involved in some aspect of human research (funding, oversight, conduct, monitoring, etc.)
- Each institution's HRPP is different

## What does it do?

- Develops and implement policies and practices that ensure the adequate protection of research participants
- Each office in the HRPP has a unique responsibility to research participants
  - Develops and follows their own policies, procedures, regulations, etc.



# HRPP Components at WCM

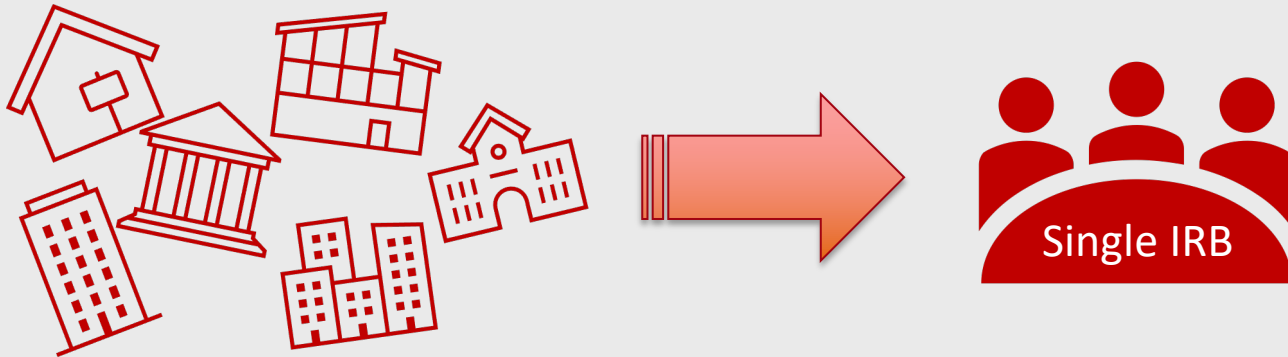


# How Did Single IRB Change Our Understanding of HRPP?

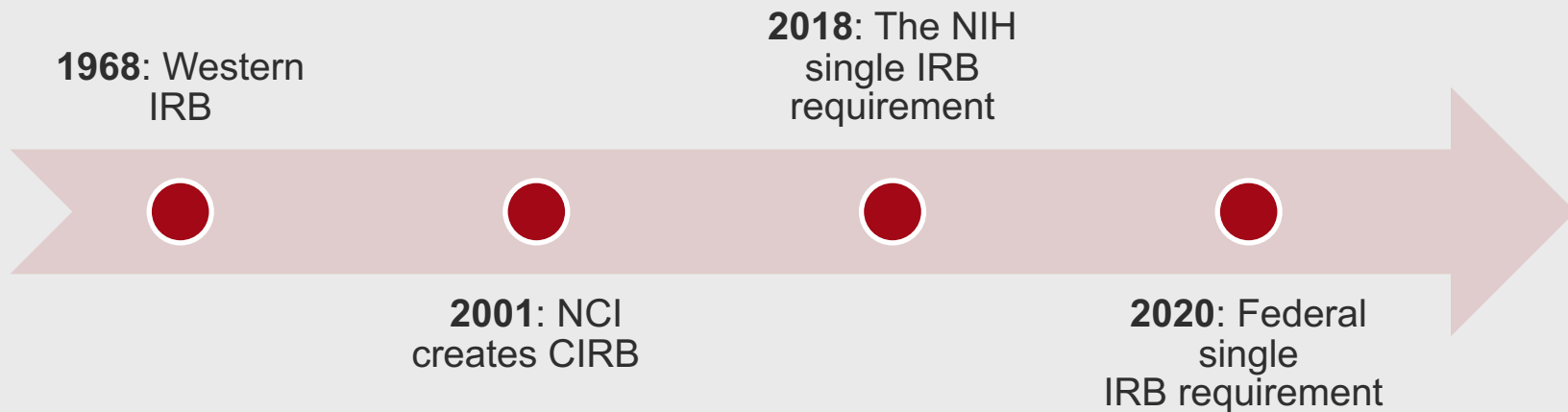


# What is a Single IRB (sIRB)?

A **Single IRB** is the Reviewing IRB or (IRB of Record), that provides the ethical and regulatory review for all relying sites participating in a multisite/multi-center study.



# History of Single IRB Review





# What happens when the IRB is removed?



# Case study: Digital Health Technologies for Long-term Self-management of Osteoporosis

- Relying on an External IRB
- Conflict of Interest (COI) review
- Oura ring – Digital Health Technology (DHT) review
- Bone density scan (Dexa) - Radiation safety



# How Does the HRP Represent Institutional Approval?



# Not Just Regulations

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State and Local, and Institutional Requirements	

# Transparency: Independent Process vs. Managed by IRB/PRMC

Requirements	Status	Next Step	Office Contact
+ CITI Training: Biomedical Research	Not Started	Next Step	Office Contact
+ CITI Training: Good Clinical Practice	Not Started	Next Step	Office Contact
Clinical and Translational Science Center	Completed	Next Step	Office Contact
Coverage Analysis	Completed	Next Step	Office Contact
Institutional Review Board	Completed	Next Step	Office Contact
Investigational Pharmacy	Completed	Next Step	Office Contact
+ CITI Training: Conflicts of Interest	Not Applicable	Next Step	Office Contact
Clinical Trial Agreement	Not Applicable	Next Step	Office Contact
ClinicalTrials.gov	Not Applicable	Next Step	Office Contact
Conflict of Interest	Not Applicable	Next Step	Office Contact
Data Safety Monitoring Committee	Not Applicable	Next Step	Office Contact
EpicTogether Readiness	Not Applicable	Next Step	Office Contact
Institutional Biosafety Committee	Not Applicable	Next Step	Office Contact
MCC Activation Readiness	Not Applicable	Next Step	Office Contact
Pathology	Not Applicable	Next Step	Office Contact
Pediatrics Resident Research Oversight Committee	Not Applicable	Next Step	Office Contact
Protocol Review & Monitoring Committee - Cancer	Not Applicable	Next Step	Office Contact
Protocol Review & Monitoring Committee - General	Not Applicable	Next Step	Office Contact
Radiation Safety Committee	Not Applicable	Next Step	Office Contact



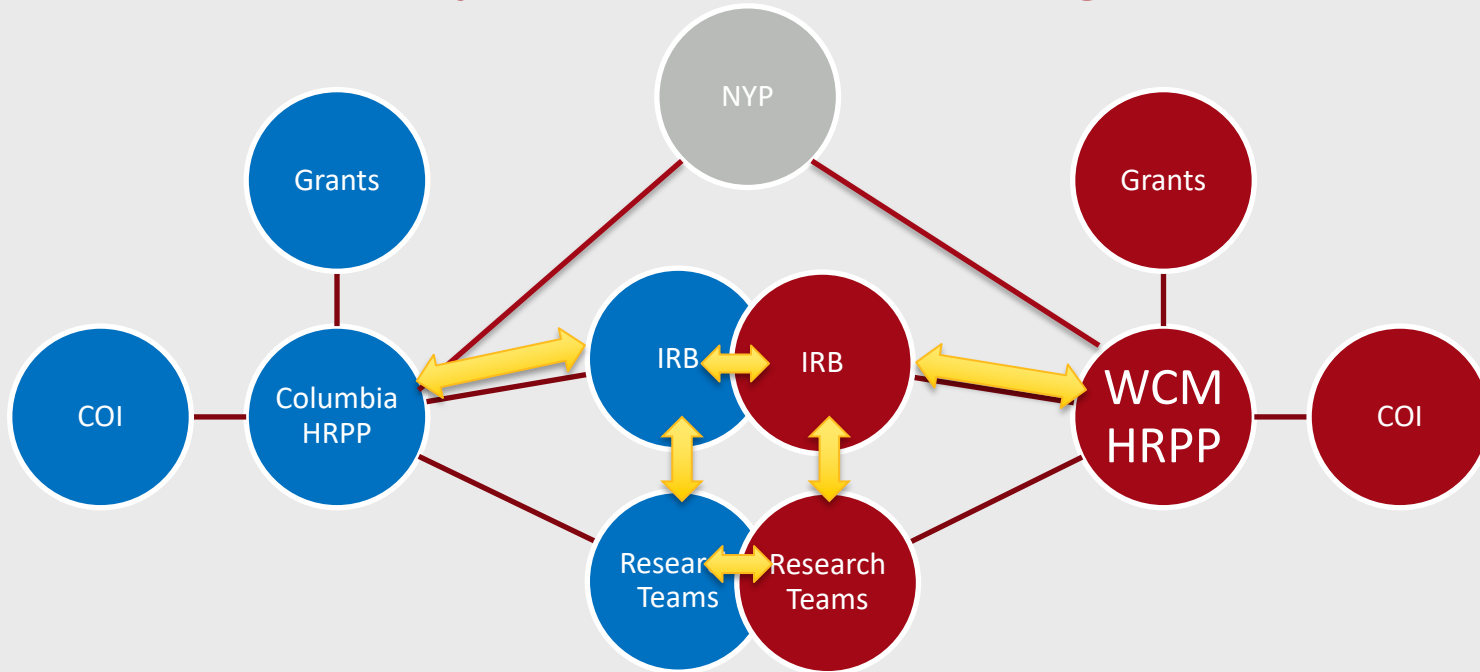
# HRPP and Institutional Approval



- Do we have all the necessary review and oversight?
- How do we communicate across the components and with the researchers?
- How is final Institutional Approval documented and communicated?



# Case Study: Collaborating Across NYP



# Questions?



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IRB Member  
Portal



Forms,  
Templates &  
Guidelines



Request a  
Consultation [↗](#)

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# Contact Us



Scan the QR code to  
request a consult  
during our on-site  
Tuesday, 1/9 through  
Thursday 1/11



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